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3 **ABSTRACT**  
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6 This review from the *International Consortium on Hallucinations Research* intends to  
7 question the pertinence of the excitatory-to-inhibitory (E/I) imbalance hypothesis as a model for  
8 hallucinations. A large number of studies suggest that subtle impairments of the E/I balance are  
9 involved in neurological and psychiatric conditions, such as schizophrenia. Emerging evidence also  
10 points to a role of the E/I balance in maintaining stable perceptual representations, suggesting it may  
11 be a plausible model for hallucinations. In supports, hallucinations have been linked to inhibitory  
12 deficits as shown with impairment of *Gamma-AminoButyric Acid* transmission, *N-Methyl-D-Aspartate*  
13 receptor plasticity, reductions in gamma-frequency oscillations, hyperactivity in sensory cortices and  
14 cognitive inhibition deficits. However, the mechanisms by which E/I dysfunctions at the cellular level  
15 might relate to clinical symptoms and cognitive deficits remain unclear. Given recent data advances  
16 in the field of clinical neuroscience, it is now possible to conduct a synthesis of available data  
17 specifically related to hallucinations. These findings are integrated with the latest computational  
18 frameworks of hallucinations, and recommendations for future research are provided.  
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38 **Key-words:** Inhibition; hallucination; oscillation; sensory gating; GABA; Glutamate; NMDA; Bayesian  
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3 **INTRODUCTION**  
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7 Neural circuits are regulated by activity-dependent feedback systems that act to maintain a  
8 precise excitatory-to-inhibitory (E/I) balance. This E/I balance has been shown to play an important  
9 role in the development and maintenance of stable perceptual representations<sup>1</sup>, suggesting a  
10 plausible link with hallucinations. In support, many studies have shown that inhibitory deficits are  
11 linked with hallucinations. *Inhibition*, however, is a polysemic term with multiple meanings and  
12 functions. For example, not all the cognitive mechanisms falling under the rubric of *inhibition* may be  
13 meaningfully related to hallucinations<sup>2</sup>. In this paper, we first review evidence for potential inhibitory  
14 dysfunction in (auditory and visual) hallucinations at different scales of understanding (i.e. molecular  
15 level, system-level, cognitive level). Given that hallucinations are a clinical feature of schizophrenia  
16 (SCZ), that literature is also reviewed so that deficits specific to hallucinations may be separated from  
17 those general to SCZ. Evidence drawn from studies in other conditions in which hallucinations occur  
18 is also provided. Second, we amalgamate this understanding with the latest computational models.  
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20 Computational scale-free frameworks can provide powerful models for understanding hallucinations  
21 by allowing the integration of macro-scale findings with micro-scale factors that dictate the E/I  
22 balance. This knowledge carries potentially important information for understanding mechanisms of  
23 hallucinations, and recommendations for future research and practice in the field are provided in the  
24 last section.  
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34 **MOLECULAR AND PHARMACOLOGICAL EVIDENCE**  
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48 Decreased inhibition or increased excitation is now a consistent finding in SCZ. Genetic<sup>3</sup>,  
49 physiological<sup>4, 5</sup>, and post-mortem<sup>6</sup> evidence converge to show an impairment of *Gamma-*  
50 *AminoButyric Acid (GABA)* transmission in SCZ. Using rodent models, the experimental blockage of  
51 parvalbumin interneurons<sup>7</sup>, or the suppression of their activity using optogenetic methods<sup>8</sup>, was  
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3 shown to induce significant reductions in  $\gamma$ -oscillations, a finding which was replicated in humans  
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5 with SCZ<sup>4</sup> (see also the *Neurophysiology* section). Interestingly, such reduced GABAergic inhibition  
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7 was related to perceptual deficits<sup>9</sup>, such as reduced vulnerability to contrast-contrast illusions<sup>10</sup>.  
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9 Furthermore, global Glutamate (Glu) receptor hypofunction (notably of the *N-Methyl-D-Aspartate*  
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11 receptor or NMDA-R) in animal models was shown to cause an increase in intrinsic pyramidal cell  
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13 excitability and a selective disruption of parvalbumin-expressing interneurons<sup>11</sup>.

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17 Psychotomimetic models (e.g. models that mimics the symptoms of psychosis), especially  
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19 those based on ketamine (an antagonist agent of NMDA-R), also support the E/I imbalance  
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21 hypothesis. SCZ-like symptoms (e.g. perceptual aberrations, delusional ideas, thought disorder and  
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23 changes in affect) have been described in healthy volunteers taking *ketamine*<sup>12</sup> as well as in auto-  
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25 immune anti-NMDA-R encephalitis<sup>13</sup>. Moreover, ketamine affects the intensity and integrity of the  
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27 sensory experience<sup>14</sup>. For both auditory and visual perception, acuity is increased and background  
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29 stimuli become more salient<sup>14-16</sup>. Specifically, the drug was shown to bind D2 receptors and induce  
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31 striatal dopamine release<sup>17</sup>, even if blocking D2 receptors with Haloperidol prior to ketamine  
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33 administration does not block ketamine-induced symptoms<sup>12</sup>.  
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37 Furthermore, ketamine does not routinely induce hallucinations, rather illusory percepts –  
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39 alterations of stimuli that are actually present<sup>18</sup>. However, a recent report suggests that ketamine  
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41 administration inside the MRI scanner (perhaps a form of sensory isolation) does induce auditory  
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43 verbal and musical hallucinations<sup>19</sup>. Contrary to ketamine, LSD and other serotonergic hallucinogens  
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45 induce profound visual hallucinations, together with altered sense of self and time<sup>20</sup> but no  
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47 consistent delusions<sup>21</sup>. Serotonergic hallucinogens mainly act at 5-HT receptors. However, they also  
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49 impact upon glutamatergic transmission<sup>22</sup> (and thus the E/I balance), especially in the locus  
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51 coeruleus<sup>23</sup> and in frontal cortex<sup>24</sup>.  
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## **BRAIN IMAGING EVIDENCE**

### **1. From hyperactivation to brain dysconnectivity**

Consistent with the notion of an E/I imbalance, metabolic and functional changes in speech-related areas have been widely reported in functional brain imaging studies of SCZ patients with hallucinations<sup>25</sup>. Two main study categories can be distinguished: (i) *trait studies* (i.e. studies comparing hallucinators with non-hallucinators), and (ii) *state studies* (i.e. studies conducted during the occurrence of hallucinations in the scanner). Increased activation within a bilateral frontotemporal network was confirmed by coordinate-based meta-analysis of the auditory hallucination (AH) state<sup>26</sup>. State studies conducted in non-clinical hallucinators also confirmed the role of fronto-temporal regions in these experiences, independently of the SCZ status<sup>27</sup>. Parahippocampal signal fluctuations preceding hallucinations' occurrences<sup>28</sup>, as well as dysconnectivity patterns of the hippocampal complex during hallucinations<sup>29</sup>, tends to indicate a possible link between hallucinations and memory systems (see also the *Cognition* section).

Trait studies mainly explored verbal self-monitoring, verbal imagery and source memory<sup>25</sup>. These experiments showed that SCZ patients with AHs exhibit decreased activation within temporal, cingulate, premotor and subcortical regions thought to subserve the above mentioned functions<sup>30</sup>. In a recent study using a predictive learning task, aberrant resting activity was evidenced in auditory cortex as well as weakened responses to unexpected speech in patients with AHs<sup>31</sup>, suggesting auditory cortex prediction error dysfunction.

Auditory hallucinations are also associated with impaired connectivity of large scale networks at both the functional<sup>32</sup>, and structural level<sup>33</sup>. Functional connectivity between Wernicke's area and Broca's areas for example, is shown disrupted during inner speech processing in SCZ patients who hear voices<sup>34</sup>, in line with the 'comparator model' theory, positing that AHs are related to inner-speech self-monitoring impairments<sup>35-37</sup>. Overall, studies conducted in SCZ suggest that this

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3 dysconnectivity may rely at the micro-scale on impaired control of synaptic plasticity<sup>38</sup>, notably of  
4 NMDA-dependent plasticity<sup>39,40</sup>. Importantly, the dysconnectivity hypothesis was recently applied to  
5 specific symptoms such as hallucinations, e.g. comparing different SCZ subgroups that only differ on  
6 their hallucination status<sup>29,41</sup>, but also to hallucinators outside of this clinical spectrum<sup>42</sup>. Changes in  
7 distributed functional connectivity networks were finally obtained when targeting the left temporo-  
8 parietal junction with non-invasive brain stimulation techniques such as repetitive transcranial  
9 magnetic stimulation<sup>43</sup>, or transcranial direct current stimulation<sup>44</sup>, with substantial impacts on AH  
10 severity (see also the *Neuromodulation* section).  
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## 12 13 14 15 16 17 18 19 20 21 **2. Glutamate and MR spectroscopy**

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24 Given that fMRI-BOLD activations correlate with increases in Glu concentrations<sup>45</sup>, we could  
25 expect that Glu abnormalities overlap with the above-mentioned increased in neuronal firing during  
26 AHs<sup>26, 30, 46</sup>. Studies show Glu concentration abnormalities in SCZ relative to healthy controls<sup>47, 48</sup>, but  
27 very few studies have made the link to AHs. An exception is a recent study using a MR spectroscopy  
28 (<sup>1</sup>H-MRS) approach. Hugdahl et al.<sup>49</sup> demonstrated reduced Glx levels (i.e. the sum of Glu and  
29 Glutamine, Gln) in the posterior temporal lobe and the inferior frontal gyrus in SCZ participants  
30 relative to healthy controls. A significant positive correlation was also found between frontal-  
31 temporal Glx levels and hallucination severity (assessed with item P3 from the *Positive and Negative*  
32 *Syndrome Scale*, PANSS), while correlations with negative symptoms were close to zero. Interestingly,  
33 the Glx levels were higher in patients that scored in the upper range of the P3 symptom range, which  
34 was interpreted as linked with a glutamatergic hyper-activity, not inhibited by the corresponding  
35 increase in GABA release. This would mean that AHs may be accompanied by Glu increase, rather  
36 than Glu reduction. A recent meta-analysis of <sup>1</sup>H-MRS in SCZ also suggested that illness phases were  
37 associated with different Glu profiles<sup>48</sup>. Increased metabolite concentration in the speech areas in  
38 the temporal lobe was also reported by Homan et al.<sup>50</sup> when comparing hallucinating and non-  
39 hallucinating patients.  
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## **NEUROPHYSIOLOGICAL EVIDENCE**

### **1. Phase synchronisation**

Neurons have been shown to synchronise their oscillatory phase ('phase synchronisation') in response to specific stimulus contexts<sup>51</sup>. This phenomenon depends critically on E/I balance and is thought to provide a temporal code that underpins coherent perception, thought, and action (the 'binding problem'), which has now been observed within and between distributed brain structures during rest, encoding and higher-order cognition<sup>52-54</sup>.

State-studies have typically observed increased phase synchronisation in the auditory cortices of SCZ subjects. Initial case reports linked AHs to increased  $\gamma$ -band activity in left auditory cortex<sup>55, 56</sup>, while larger works have reported increased  $\alpha$ -band synchrony between right and left auditory cortices<sup>57, 58</sup>, and more recently of  $\theta$ -band and  $\gamma$ -bands in left frontal and auditory cortices<sup>59</sup>. Ford and colleagues<sup>60</sup> found 150 ms prior to and until speech production that  $\beta$ -band synchronisation (~15 Hz) was larger over frontal cortex in HC compared to SCZ; the degree of synchrony in controls was positively correlated with the degree of auditory N1 amplitude suppression resulting from corollary discharge (N1 amplitude during talking versus listening), while in SCZ lower synchronisation was related to AH severity.

Several trait-studies have examined neural synchronisation using auditory steady-state response (ASSR) paradigms. Spencer and colleagues<sup>61</sup> found that 40 Hz stimulation elicited reduced gamma-band synchronisation in left auditory cortex of SCZ compared to HC, but was modulated by  $\delta$ -band (2 Hz) activity in SCZ. The degree of gamma-band synchronisation was also related to the lifetime experience of AHs in SCZ. Reanalysing the same data, Mulert and colleagues<sup>62</sup> found that greater synchronisation between bilateral primary auditory cortices was correlated with AH severity in patients.



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3 Using 20, 30 and 40 Hz stimulation, Koenig and colleagues<sup>63</sup> found that a global measure of  
4 phase-locking was increased during ASSR stimulation in non-AH patients and healthy subjects  
5 (especially at 40 Hz), but was decreased in AH patients, notably in the left hemisphere. Using a  
6 similar paradigm, Hirano and colleagues<sup>64</sup> also found that phase-locking was significantly reduced in  
7 SCZ compared to controls at 40Hz only, however non phase-locked mean gamma-band power  
8 (amplitude) was not different between the groups at rest and was increased during 40 Hz ASSR  
9 stimulation in SCZ. Also in SCZ, AH symptoms were positively correlated with induced 40 Hz gamma-  
10 band power in left hemisphere and negatively correlated with the ASSR stimulation phase-locking  
11 factor.

## 2. Sensory gating

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Sensory gating, a form of pre-attentional inhibition when facing repeated sensory  
stimulation, is usually examined with pairs of stimuli (S1 and S2) presented at some stimulus-onset-  
asynchrony (SOA). In healthy subjects, a positive wave peaking ~50 ms after each stimulus (P50),  
exhibits reduced amplitude to S2 compared to S1; the S2:S1 'gating-ratio' of P50 amplitudes is the  
dependent measure in clinical studies. Acutely psychotic and non-psychotic SCZ patients exhibit  
larger P50 gating-ratios than healthy controls (especially when SOA=500 ms), irrespective of  
medication status<sup>65, 66</sup>. This deficit is also observed in about half of SCZ relatives<sup>67</sup>, indicating that a  
P50 gating-ratio deficit may be an endophenotypic marker for vulnerability to SCZ.

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Two studies have explored the role of impaired sensory gating in AHs. Using a standard  
paradigm, Smith and co-workers<sup>68</sup> observed greater P50 in SCZ with drug-resistant hallucinations  
compared to healthy controls, and SCZ ratios exhibited a positive correlation with lifetime AH scores  
(from the PSYRATS) but not current AH scores (from the PANSS). In contrast, Hirano and colleagues<sup>69</sup>  
found that the magnetic P50 (P50m) gating-ratio analogue observed in response to pairs of a  
Japanese vowel sound (SOA=500 ms), was larger in the left hemisphere in SCZ compared to healthy

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3 controls, and was positively correlated with current AH scores, however not all SCZ had drug-  
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5 resistant hallucinations.  
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### 8 **3. Neuromodulation studies**

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10 Combining Transcranial Magnetic Stimulation (TMS) with electroencephalography (EEG) and  
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12 electromyography (EMG) constitutes a powerful tool to assess the E/I balance in humans<sup>70, 71</sup>.  
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14 Numerous studies have investigated excitatory and inhibitory mechanisms in medicated,  
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16 unmedicated, first episode patients with schizophrenia and subjects at risk to develop SCZ<sup>72</sup>, but only  
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18 few studies have investigated their relationship with AHs.  
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23 Most of paired-pulse TMS paradigm applied over the motor cortex investigating NMDA  
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25 glutamate receptor activity failed to demonstrate any difference between SCZ patients and healthy  
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27 controls<sup>73, 74</sup>. No studies directly explored the relationship between AHs and excitatory mechanisms  
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29 measured by paired-pulse TMS paradigm applied over the motor cortex. Investigating the parieto-  
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31 motor connectivity with a subthreshold preconditioning pulse over the posterior parietal cortex  
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34 before the test pulse over the ipsilateral motor cortex, a study reported reduced paired pulse  
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36 facilitation in patients with SCZ<sup>75</sup>. Even if such facilitatory interactions are thought to depend on the  
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38 superior longitudinal fasciculus integrity, a white matter tract associated to AHs' severity<sup>76</sup>, patients  
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40 with lower negative symptoms had less impaired parieto-motor connectivity<sup>75</sup>.  
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43 Short-interval cortical inhibition (SICI) is a paired-pulse paradigm with 1-4 ms interstimulus  
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45 intervals associated with the GABA-A receptor-mediated inhibitory neurotransmission<sup>77</sup>. A recent  
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47 meta-analysis<sup>73</sup> reported that SICI was significantly reduced in SCZ patients when compared with  
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49 healthy subjects (d = 0.476). Daskalakis and colleagues reported that the intensity of the SICI deficit  
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51 correlated with the intensity of positive symptoms<sup>78</sup>. Investigating integrity of the cerebello-thalamo-  
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54 cortical loop with a TMS pulse delivered over the cerebellum 5-15 ms before a TMS pulse applied  
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56 over the contralateral primary motor cortex, a study also reported reduced cerebellar inhibition in  
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58 SCZ patients<sup>79</sup>. Even if cerebellar dysfunction has been linked with confusion between reality and  
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3 perceived reality, leading to positive psychotic thinking<sup>80</sup>, the relationship between the severity of  
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5 AHs and the intensity of the cerebellar inhibition deficit has yet to be explored.  
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8 Combining TMS and EEG, Farzan and colleagues<sup>81</sup> reported that patients with SCZ had  
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10 significant deficits in the inhibition of gamma oscillations in the dorsolateral prefrontal cortex (DLPFC),  
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12 a phenomenon known associated with an impairment in GABA-B receptor mediated inhibition. The  
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14 severity of this deficit correlated with the illness severity as measured by the BPRS. However, the  
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16 specific link with AHs was not investigated. Interestingly, using tDCS, a recent study also investigated  
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18 the excitability of the occipital cortex in healthy subjects, and reported a correlation between the  
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20 predisposition to anomalous experiences/ hallucinations score measured by the *Cardiff Anomalous*  
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22 *Perceptions Scale (CAPS)*<sup>82</sup> and the number of visual distortions that occurred from viewing aversive  
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24 gratings during active and sham tDCS<sup>83</sup>. This suggests a hyperexcitability of the brain in clinical and  
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26 non-clinical subjects predisposed to hallucinate.  
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### 33 **COGNITION**

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37 *Inhibition* is also a broad psychological construct which refers to a particular form of  
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39 prefrontal executive control that assists a range of cognitive skills (i.e. attention, learning, memory  
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41 and language) and behaviours. The principal role of cognitive inhibition is to suppress irrelevant  
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43 information and previously activated cognitive contents, and resist interference from competing  
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45 stimuli<sup>84</sup>. Cognitive inhibition can be further differentiated into (i) *interference control* which refers to  
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47 an initial perceptual stage of processing<sup>85</sup> (assessed on tasks such as the Stroop task); (ii) *automatic*  
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49 *(or unintentional) inhibition*, referring to automatic, preparatory, and prestimulus processes<sup>86</sup>  
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51 (assessed with tasks such as Negative Priming paradigms<sup>87</sup>) and (iii) *intentional inhibition* which  
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53 applies to goal-directed and post-stimuli processes which may be conscious or unconscious<sup>86, 88</sup>  
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3 (assessed with tasks such as the *Hayling Sentence Completion Task* (HSCT) or the *Inhibition of*  
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5 *Currently Irrelevant Memories Task* (ICIM)<sup>89</sup>).

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8 By definition, AH in SCZ are sensory experiences over which the person does not feel/ (s)he  
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10 has direct and voluntary control<sup>90,91</sup>. Consequently, cognitive explanations of AH have suggested that  
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12 this reduced sense of control arises from a breakdown in inhibition<sup>92</sup>, and that such deficits might  
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14 results in the emergence of irrelevant material from long-term memory into awareness<sup>93, 94</sup>. In  
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16 support, studies have showed that hallucination frequency was associated with difficulties on tasks  
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18 requiring the suppression of irrelevant information and distracting information on the ICIM and HSCT  
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20 tasks<sup>95, 96</sup>, a *Directed Forgetting* (DF) task<sup>97</sup>, and the *Dichotic Listening* task<sup>98</sup>, signalling deficits in  
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22 intentional inhibition. Such deficits were not found correlated with delusions or other symptom  
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24 dimension. Furthermore, negative findings were reported on the other inhibition constructs of  
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26 interference control and automatic inhibition<sup>99-101 99, 102</sup>, pointing to specific deficits in suppressing  
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28 mental events that are activated, and held in working memory. This was also supported by recent  
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30 imaging studies showing that the morphology of the anterior cingulate cortex, known associated with  
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32 inhibitory control efficiency<sup>103</sup>, is also associated with AHs<sup>104</sup>.

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37 Two studies extended these results in non-clinical participants scoring high on measures of  
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39 hallucination-like experiences. Paulik et al.<sup>105</sup> reported that these individuals made more false alarms  
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41 on ICIM conditions requiring intentional inhibition than comparison controls. This finding was  
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43 partially replicated by a recent paper<sup>106</sup>, which showed correlations with ICIM scores with  
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45 participants' scores on one measure of hallucination-proneness (the *Cardiff Anomalous Percepts*  
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47 *Scale*;  $r = .38$ ) but not with another (the *Launay-Slade Hallucination Scale*;  $r = .10$ ). Thus, in both  
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49 clinical and non-clinical groups, there is evidence to suggest that the predisposition to hallucinate is  
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51 related to intentional inhibition abilities.  
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55 Intentional inhibition deficits have also been examined in a number of other mental health  
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problems that are characterised by unwanted and uncontrollable cognitions, primarily obsessive

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3 compulsive disorder (OCD)<sup>107-109</sup> and post-traumatic stress disorder (PTSD)<sup>110-113</sup>, providing further  
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5 support for the role of inhibition in the control of unsolicited mental events.  
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8 Inhibition and memory processes are very much intertwined, and executive control over many  
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10 cognitive constructs (ie. memory) also appears compromised in hallucinations. A deficient inhibitory  
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12 control system may produce inefficient learning, retention of inappropriate details, or irrelevant  
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14 (intrusive) memories<sup>114, 115</sup>, whereas excessive inhibition may result in repression of memories<sup>116</sup>. A  
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16 particular feature of many intentional inhibition tasks on which individuals with AH are impaired (ie.  
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18 ICIM, DR, working memory task) is that they also involve memory processes, and require the  
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20 suppression of mental representation from memory stores. It has been suggested that hallucinations  
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22 may involve 'parasitic memories'<sup>117, 118</sup> or the intrusions of strongly activated (but irrelevant)  
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24 representations in memory<sup>93, 94</sup>, especially when words are negative or derogator<sup>119</sup>.  
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## 31 CONSIDERING THESE FINDINGS USING SCALE-FREE COMPUTATIONAL 32 33 APPROACHES 34 35

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38 Building on the previous sections, the following considers how both micro- and macro-scale  
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40 findings on hallucinations can be convincingly articulated using computational modelling. Several  
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42 theoretical models have already been proposed to account for hallucinations<sup>120, 121</sup>. In this report, we  
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44 will mainly focus on Bayesian inference, but note that the different underlying hypotheses for these  
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46 models are not necessarily mutually exclusive but may instead be complementary, in that they bring  
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48 different insights into the mechanisms behind hallucinations<sup>122</sup>.  
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### 52 **1. The predictive coding framework** 53 54

55 Recent theories propose that hallucinations could be due to altered inference mechanisms<sup>123-</sup>  
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57 <sup>125</sup>. Originating from Helmholtz' idea of unconscious inference<sup>126</sup>, these theories conceptualize the  
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3 brain as an inference machine that uses learned predictions (prior beliefs), combined with sensory  
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5 evidence, to infer the causes of the incoming sensory data ('posterior probabilities'). Importantly,  
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7 both the prior and the sensory evidence are weighted by their precisions, which define their relative  
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9 contributions on the 'posterior'.

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12 A plausible implementation of Bayesian inference in the brain is hierarchical predictive  
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14 coding<sup>127-130</sup>. The core idea is that an internal model that represents the knowledge about the outer  
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16 world serves to generate a stable perceptual experience despite noisy sensory data. Predictive  
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18 signals are thought to be fed-back from higher to lower levels of the cortical hierarchy. When these  
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20 predictions are violated by the sensory data, a precision-weighted prediction error signal is fed-  
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22 forward to the next hierarchical level to update the predictive model and drive learning. If the  
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24 precision of the sensory data is high relative to the precision of the prior belief, the prediction error  
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26 will be greater, and vice versa. It has been proposed that psychosis is linked to increased prediction  
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28 error signaling<sup>123</sup> which in turn leads to aberrant salience and the formation of delusions, as  
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30 proposed earlier<sup>124, 125, 131-133</sup>.

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35 Disrupted predictive coding has also been invoked as a mechanism underlying hallucinations  
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37 per se<sup>123-125</sup>. Different predictive coding alterations have been proposed. One possibility is that  
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39 hallucinations result from an overly strong effect of top-down predictive signals on neural activity in  
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41 sensory cortices<sup>134, 135</sup>. Others have linked hallucinations to a failure to attenuate the sensory  
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43 consequences of inner speech, in analogy to the mechanism that is thought to underlie delusions of  
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45 control<sup>40, 125, 136</sup>. In Bayesian inference terms, the latter mechanism would correspond to increased  
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47 *prediction errors*, possibly resulting from neural signals that encode inner speech in auditory cortex  
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49 with relatively high precision<sup>123, 137</sup>. Even if compatible with the E/I imbalance hypothesis, how this  
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51 expectation gives rise to voices rather than other sounds remains to be established<sup>138</sup>.  
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## 2. The circular inference framework

The *prediction coding* hypothesis suggesting that SCZ subjects give too much relative weight to their prior beliefs may have some limitations, especially when considering the fact that patients with psychosis are *less* sensitive to many perceptual illusions than healthy individuals<sup>10, 139-141</sup>, which is inconsistent with the proposition that strong priors would be at the root of perceptual illusions<sup>142, 143</sup>. To overcome these shortcomings, it could be useful to come back to a mathematically rigorous formulation of hierarchical causal inference. In a Bayesian network, inference can be performed by a recurrent propagation of messages between causal nodes in all possible directions: top down, bottom up, and laterally. Inference is only complete after all such messages have been sent in the cortical hierarchy<sup>144</sup>. Since long-range connections in the brain are overwhelmingly excitatory, these messages would be reverberated endlessly through feed-forward/feedback excitatory loops if they were not controlled by the presence of equivalently strong inhibitory connections. Indeed such balance is tightly maintained in cortical networks, and was shown affected in SCZ<sup>145</sup>. Scaling down inhibition (or scaling up excitation) in such a computational model results in a pathological form of inference called "*Circular Belief Propagation*", in which "*bottom-up*" and "*top-down*" messages are reverberated and taken into account multiple times<sup>146</sup>.

Even when facing weak sensory evidence, circular propagation generates strong perceptual beliefs: hallucinations occur where nothing relevant should have been inferred. In the same way, circular inference introduces spurious correlations between feedforward and feedback messages that are non-existent in the real world. This leads to the learning and consolidation of "unshakable" (but false) causal relationships, resulting in delusional belief systems. In this line, hallucinations have been proposed to originate primarily from the reverberation of "bottom-up" messages, leading to an over-interpretation of the sensory evidence<sup>146</sup>. This does not rule out the possibility that some individuals with hallucinations (within, but also beyond the SCZ spectrum) may over-interpret their



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3 priors<sup>134, 147</sup>. Importantly, these two hypotheses could be tested experimentally by measuring how  
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5 patients weight their likelihood and priors during decisions.  
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### 8 **3. Further experimental support**

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10 Besides the clinical and cognitive predictions of these models, several neural  
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12 implementations have been proposed<sup>127, 128, 144, 148-151</sup>. Regardless of its specific implementation, it is  
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14 important to consider that belief propagation relies on local inhibitory control to avoid *circular*  
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16 *inference*. The presence of these corrective connections has been shown to be highly compatible  
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18 with the architecture, connectivity and dynamics of the cortical column<sup>152</sup>. In such a context, it can be  
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20 interesting to reappraise the effects of ketamine from an inferential point of view. Corlett et al.  
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22 suggested that under ketamine, the subject may experience both perceptual aberrations (due to  
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24 AMPA up-regulation) and a reduced capacity to accommodate and ignore these aberrations (due to  
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26 NMDA blockade)<sup>124</sup>. This suggests that ketamine and PCP disturb the feed-forward mechanism  
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28 (prediction error signal) through AMPA upregulation and the feedback constraint (priors) through  
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30 NMDA blockade. The impairment of NMDA function would limit the extent to which priors could  
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32 exert their effect in explaining the mismatch that is carried by the upregulated AMPA signaling. This  
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34 would lead to persistence of perceptual aberrations due in part to persistent AMPA signaling and in  
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36 part to an attenuation of the constraining effect that priors would normally afford on perception (see  
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38 also<sup>19</sup>). Intriguingly, contrary to ketamine, LSD alters glutamatergic function but it does not impair  
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40 NMDA signaling<sup>22</sup> and may actually enhance it<sup>153</sup>. Thus, LSD induces more visual hallucinations, a  
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42 phenomenon that can be captured by circular inferences<sup>122</sup>.  
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## 53 **CONCLUSION AND RECOMMENDATIONS FOR FUTURE RESEARCH**

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56 Overall, this report reviewed the applicability of multi-scale approaches of hallucinations  
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58 presenting the current available data for an E/I imbalance in these experiences. Bayesian inference  
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3 frameworks were shown to be particularly efficient for integrating various degrees of understanding,  
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5 from the molecular to anatomo-functional or behavioral levels. Three main lines of  
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7 recommendations emerged from the working group. First in terms of population studied, it would be  
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9 particularly useful if future research could devote concerted efforts in exploring these hypotheses  
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12 transdiagnostically, to adequately control for the SCZ factor. Beyond simple group comparisons,  
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14 future cognitive studies could for example examine whether the magnitude of participant's DF or  
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16 ICIM effect from different populations correlated with the number of intrusions they experience. This  
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18 would notably allow to test whether intentional inhibition problems cause the intrusive cognitions  
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20 reported in OCD and PTSD. Second, in terms of paradigms, multiscale approaches should be  
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22 privileged, e.g. cognitive/ MRS. Some specific recommendations could notably be made regarding  
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24 emerging exploratory methods, like MRS. Indeed, GABA measures from the same brain regions as are  
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26 targeted for measures of Glu could allow to sort out the specificity of E/I interactions for the  
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28 initiation and maintenance of hallucinations. The validation of these experimental data through  
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30 computational model fitting, based on predictive coding and circular inference frameworks, should  
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32 finally reinforce the biological plausibility of the computational approach.  
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